

SCHEDULE OF THIRD PARTIES 2021/22

This list is indicative and not exhaustive. Where appropriate, it is split into third parties with a remit specific to healthcare and those with a more general remit. N.B. the list may change from time to time.

Key to responsibilities:

Chief Executive Officer (CEO)

Chief Nursing Officer (CNO)

Chief Medical Officer (CMO)

Chief Finance Officer (CFO)

Chief Operating Officer (COO)

Chief People Officer (CPO)

Chief Strategy and Transformation Officer (CSTO)

Chief Informatics and IT Officer (CIITO)

Relevant NHS England and NHS Improvement Code of Governance guidance:

E.2.1 The board of directors should be clear as to the specific third party bodies in relation to which the NHS foundation trust has a duty to co-operate. The board of directors should be clear of the form and scope of the co-operation required with each of these third party bodies in order to discharge their statutory duties.

E.2.2 The board of directors should ensure that effective mechanisms are in place to co-operate with relevant third party bodies and that collaborative and productive relationships are maintained with relevant stakeholders at appropriate levels of seniority in each. The board of directors should review the effectiveness of these processes and relationships annually and, where necessary, take proactive steps to improve them.

1. Bodies with statutory enforcement powers

NHS England and NHS Improvement does not reasonably expect to be involved with the resolution of issues covered by such bodies, except where persistent failures may indicate fundamental governance failings and a breach of authorisation.

Statutory remit specific to healthcare

Care Quality Commission (CQC) (CNO)

FORM AND SCOPE OF COOPERATION

UHD will comply with the CQC standards and maintain its registration.

UHD will comply with requests from the CQC and if issues regarding compliance are identified will work with the CQC to address these.

Public Health England (PHE) (COO)

UHD will comply with directions from PHE to respond to health hazards and emergencies. UHD will work with partner agencies to anticipate and prepare for emerging and future threats with regard to public health.

Human Fertilization and Embryology Authority (HFEA) (CMO)

UHD does not currently provide any services which would be regulated by the HFEA.

NHS England and NHS Improvement (NHSEI) (CEO/CFO)

UHD submits board-approved plans to NHSEI and reports in line with requirements.

Regulators of Individual Health Professionals:

1. General Chiropractic Council (CMO/CNO)
2. General Dental Council (CMO/CNO)
3. General Medical Council (CMO)
4. General Optical Council (CMO)
5. General Osteopathic Council (CMO/CNO)
6. General Pharmaceutical Council (COO)
7. Health and Care Professions Council (CMO/CNO)
8. Nursing and Midwifery Council (CNO)

There are currently eight regulators of individual health professionals covering a range of professions which UHD is linked with. UHD would cooperate with the regulators with regard to fitness to practice of an individual.

General statutory remit

The Charity Commission (CFO)

UHD Charity is registered with the Charity Commission and sends them their Annual Report and Accounts each year within the specified timescale.

Equality and Human Rights Commission (CPO)

UHD will comply with its legal duties with regard to equality and human rights and will cooperate with the Equality and Human Rights Commission when they are undertaking their statutory remit in protecting and monitoring human rights and equality.

Environment Agency (CSTO)

UHD will comply with the requirements of the Environment Agency with regard to protecting the environment and dealing with clinical and non-clinical waste.

Fire and Rescue Authorities (CSTO)

UHD is subject to statutory fire checks and would consider any recommendations by the Fire and Rescue Authorities about changes to buildings or operations to prevent fires.

Dorset Police (COO)

Dorset Police provides cover for the hospitals. The Trust has a local security manager, and there are various other points of contact through the hospital.

Health and Safety Executive (HSE) (CNO)

UHD will comply with policy from the HSE with regard to the safety of its staff, patients and members of the public on UHD premises.

UHD will report reportable incidents to the HSE (Reporting of Injuries, Diseases and Dangerous Occurrences Regulations 2013, RIDDOR).

UHD will cooperate with any investigation undertaken by the HSE and implement the recommendations of such an investigation.

HM Revenue and Customs (CFO)

UHD is aware of its responsibilities to HM Revenues and Customs and will comply with all appropriate requirements

Human Tissue Authority (HTA) (COO)

UHD holds a licence with the HTA. The Trust submits an annual report showing audit results. The trust may be inspected without notice.

Information Commissioner (CIITO)

The Information Commissioner oversees and enforces compliance with the Data Protection Act 1998 and Freedom of Information Act 2000. UHD has an approved publication scheme and responds to requests under these Acts within the statutory timeframes.

If a serious data loss/breach occurs, UHD is required to inform the Information Commissioner.

Local planning authorities (CSTO):

1. BCP Council local planning authority
2. Dorset Council highways agency

UHD will work with local planning authorities on all appropriate development activities, and has a single point of contact for major planning applications through the estates office.

Public Accounts Committee (CEO/CFO)

The Public Accounts Committee has the power to call any Accounting Officer of a public body before it. UHD's Accounting Officer would cooperate with any such request from the Public Accounts Committee.

Secretary of State for Health and Social Care (CEO)

NHS Foundation Trusts are not generally subject to direction by the Secretary of State for Health and Social Care. However, there may be directions issued from time to time which would be applicable to UHD which would be implemented appropriately.

2. Bodies with a statutory role but no enforcement powers

Commissioners:

1. Dorset Clinical Commissioning Group (COO/CFO)
2. NHS England and NHS Improvement (commissioners of specialist services) (COO/CFO)

UHD is required to meet its contractual obligations to its commissioners. Integrated Care Boards (ICBs) are planned to be established as statutory bodies from July 2022.

Health and Wellbeing Boards (HWB):

1. Bournemouth, Christchurch and Poole HWB (CEO)
2. Dorset Council HWB (CEO)
3. Hampshire County Council HWB (CEO)

HWB took on their statutory function from April 2013. UHD will collaborate with local HWBs to understand local needs, and address the broader determinants of health and wellbeing.

BCP Safeguarding Adult Board Dorset Safeguarding Adult Board
Dorset Safeguarding Children Partnership (CNO)

UHD will work closely with Dorset and BCP partners to improve the safety and well-being of adults/children who might be at risk of harm.

Public Health England (PHE) (CNO)

UHD will work closely with the local PHE authority to deliver improvements in public health outcomes for the local population

NHS Blood and Transplant (COO)

UHD complies with advice and best practice guidance provided by NHS Blood and Transplant.

Parliamentary and Health Service Ombudsman (CEO/CMO)

UHD will consider recommendations made by the Parliamentary and Health Service Ombudsman where we have been unable to resolve a complaint locally.

Co-operation and Competition Panel (CCP) (CEO/CFO)

UHD will cooperate with any investigation undertaken by the CCP.

Care Quality Commission (CMO/CNO)

UHD complies with the Mental Health Act as appropriate to its patients, and is aware of its responsibility to the Commission.

NHS Digital (CFO/CIITO)

UHD will report data to NHS Digital as required to do so by Schedule 6 of our Authorisation.

Overview and Scrutiny Committees (OSC):

- BCP Council OSC (CEO)
- Dorset Council OSC (CEO)
- Hampshire County Council OSC (CEO)

UHD will consult with the relevant Overview and Scrutiny Committees before making any material changes to services and will provide the Committee with information if it is requested.

Healthwatch Dorset (CNO)

UHD will work with Healthwatch Dorset to understand and respond to the views of the local community with regard to healthcare, and will accommodate 'enter and view' visits

General Remit

Ofsted (CPO)

UHD would comply with requests from Ofsted for information relating to an inspection.

HM Inspectorate of Prisons (CFO)

UHD would comply with requests from HM Inspectorate of Prisons for information relating to an inspection.

National Audit Office (NAO) (CFO)

UHD would comply with requests from the NAO for information relating to an audit.

3. Bodies with no statutory role but a legitimate interest

NHSEI expects that NHS foundation trusts will generally cooperate with such bodies and a failure to cooperate may, under certain circumstances, constitute a breach of authorisation.

Clinical Pathology Accreditation (UK) Ltd (CMO)

A private organisation which provides a nationally recognised accreditation for clinical laboratories.

Committees, working groups and forums advising the Department of Health and Social Care on topics across health and social care (CNO/CMO)

UHD would consider the key recommendations from such groups and would identify appropriate changes to clinical practice which would improve the quality of care and patient outcomes.

Confidential enquiries, including:

- The National Confidential Enquiry into Suicide and Homicide by People with Mental Illness (CMO)
- The Centre for Maternal and Child Health Enquiries (CMO)
- The National Confidential Enquiry into Patient Outcome and Death (CMO)

UHD would consider the key findings and recommendations from the reports and would identify appropriate changes to clinical practice which would improve the quality of care and patient outcomes.

Health Education England Wessex (CPO)

UHD will maintain a relationship with the Local Training and Education Board (LETB) to ensure we are working to best practice with regard to education, training and workforce planning in the fields of medicine, dentistry, pharmacy and healthcare science.

Medicines and Healthcare Products Regulatory Agency (MHRA) (COO)	UHD will work with and pay heed to the advice of MHRA
National screening programme teams (CMO)	UHD will work with and pay heed to advice from the national breast, cervical and bowel cancer screening teams. UHD manages the Dorset Bowel Cancer Screening Program.
NHS Business Services Authority (NHSBSA) (CFO)	<p>The NHS Business Services Authority is responsible for policy and operational matters relating to prevention, detection and investigation of fraud and corruption.</p> <p>UHD will work with the Local Counter Fraud Specialist (LCFS) or NHS Protect (NHSBSA) to investigate and recover losses in cases of fraud or corruption.</p>
NHS Resolution (CMO)	<p>UHD is a member of the NHS Resolution Risk Pooling Schemes and as such undertakes NHS Resolution assessments which are a mandatory part of the scheme.</p> <p>UHD also complies with appropriate requests from NHS Resolution with regard to its claims management and liaises with them to bring a satisfactory resolution to the claim.</p>
<p>Other local NHS provider trusts, including:</p> <ul style="list-style-type: none"> • Dorset County Hospital NHS Foundation Trust • Dorset Healthcare University NHS Foundation Trust 	UHD works collaboratively with other local provider trusts as appropriate to meet the needs of patients within the local community

Royal Colleges, including:

- Royal College of Anaesthetists (CMA)
- Royal College of GPs (RCGP)
- Royal College of Midwives (RCM)
- Royal College of Nursing (RCN)
- Royal College of Obstetricians and Gynaecologists (RCOG)
- Royal College of Ophthalmologists (RCOphth)
- Royal College of Paediatrics and Child Health (RCPCH)
- Royal College of Pathologists (RCPath)
- Royal College of Physicians (RCGP)
- Royal College of Psychiatrists (RCPsych)
- Royal College of Radiologists (RCR)
- Royal College of Speech and Language Therapists (RCSL)
- Royal College of Surgeons (RCS)

UK Genetics Testing Network (CMA/COO)

Universities and post-graduate Deaneries (CMA/CNO/ COP)

UHD considers the key recommendations from the Royal Colleges and identify appropriate changes to clinical practice which would improve the quality of care and patient outcomes.

UHD will work with and pay heed to the advice of the UK Genetic Testing Network

UHD is a university hospital trust has a relationship with a number of local universities, including our partner Bournemouth University and Southampton University, and offers professional education and training in conjunction with a range of universities and professional bodies. The trust enjoys a strong relationship with the Wessex Deanery.